



Attorney Docket No. 15071US02

UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 15071US02)

In the Application of:

**Daniela T. Bratescu et al.**

Serial No. **10/677,422**

Filed: **October 2, 2003**

For: **Antimicrobial ternary  
surfactant blend  
comprising cationic,  
anionic, and bridging  
surfactants, and  
methods of preparing  
same**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being  
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Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450 on

May 14, 2004

By:

Troy A. Grotken  
Reg. No. 46,442

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97-1.98 and in compliance with the duty of disclosure  
set forth in 37 C.F.R. § 1.56, it is respectfully requested that the following references be  
considered in the examination of the above-identified patent application:

<u>U.S. PATENT NO.</u>	<u>DATE</u>	<u>INVENTOR(S)</u>
4,001,394	01-04-97	Fogel et al.
4,576,729	03-18-86	Paszek et al.
5,948,741	09-07-99	Ochomogo et al.
6,066,674	05-23-00	Hioki et al.

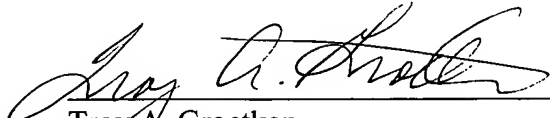
<u>FOREIGN PATENT APPLICATION NO.</u>	<u>DATE</u>	<u>INVENTOR(S)</u>
GB 2 306 501	05-07-97	Alan Francis Richter
EP 0 843 002	05-20-98	Tateno et al.
GB 2 340 843	03-01-00	

The above-identified reference(s) are listed on the attached PTO form PTO/SB/08A and copies of the foreign references are also enclosed herewith. This submission is in no way intended as an admission that the above-cited references constitute prior art. Applicant expressly retains the right to take any actions necessary to remove the above-cited references from the available prior art. Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

The applicants believe that this statement and enclosures are being filed before the first Office action on the merits has been mailed by the PTO. The basis of this belief is that no Office action on the merits appears to have been received by the undersigned to date.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Account No. 13-0017.

Respectfully submitted,

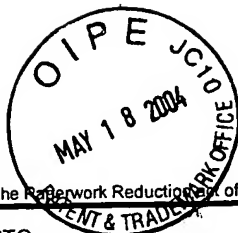


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PTO/SB/08A (08-03)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/677,422
Filing Date	October 2, 2003
First Named Inventor	Daniela Bratescu
Group Art Unit	1614
Examiner Name	Not assigned
Attorney Docket Number	15071US02

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	4,001,394	01/04/1977	Fogel et al.	
	A2	4,576,729	03/18/1986	Paszek et al.	
	A3	5,948,741	09/07/1999	Ochomogo et al.	
	A4	6,066,674	05/23/2000	Hioki et al.	
	A5				
	A6				
	A7				
	A8				
	A9				
	A10				
	A11				
	A12				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	A13	GB 2 306 501	05/07/1997	Reckitt & Colman Inc.		
	A14	EP 0 843 002	05/20/1998	KAO CORP		
	A15	GB 2 340 843	03/01/2000	Reckitt & Colman Inc.		
	A16					
	A17					

**OTHER ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	A18	
	A19	
	A20	
	A21	
	A22	

EXAMINER  
SIGNATURE

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1800-786-9199) and select option 2.